

PURCHASE APPLICATION FORM (LEAD BROKER COPY)
OFFER FOR SALE BY NATIONAL INVESTMENT FUND HOLDING COMPANY LIMITED
SERIES A 4.50% FIXED RATE BONDS 2023, SERIES B 5.70% FIXED RATE BONDS 2030, SERIES C 6.60% FIXED RATE BONDS 2038
 (TO BE COMPLETED IN BLOCK LETTERS)

BROKER NAME

TTCD DEPEND
ACCOUNT NUMBER

JOINTLY HELD (Y/N)

TO BE COMPLETED BY COMPANY/NOMINEE/INSTITUTION APPLICANT

COMPANY/INSTITUTION OR NOMINEE AND RELATED COMPANY:							
DATE OF INCORPORATION	M	M	D	D	Y	Y	REGISTRATION NUMBER _____

TO BE COMPLETED BY INDIVIDUAL APPLICANTS/UNDERLYING NOMINEE HOLDER (INDIVIDUALS)

PRIMARY ACCOUNT HOLDER:	TITLE	FIRST NAME	MIDDLE NAME	LAST NAME			
DATE OF BIRTH:	M	M	D	D	Y	Y	ID TYPE: <input type="checkbox"/> DP <input type="checkbox"/> NAT ID <input type="checkbox"/> PP
CONTACT INFORMATION:	TELEPHONE # _____		E-MAIL ADDRESS _____				
JOINT ACCOUNT HOLDER # 1	TITLE	FIRST NAME	MIDDLE NAME	LAST NAME			
ID TYPE:	<input type="checkbox"/> DP	<input type="checkbox"/> NAT ID	<input type="checkbox"/> PP	TELEPHONE # _____		E-MAIL ADDRESS _____	
JOINT ACCOUNT HOLDER # 2	TITLE	FIRST NAME	MIDDLE NAME	LAST NAME			
ID TYPE:	<input type="checkbox"/> DP	<input type="checkbox"/> NAT ID	<input type="checkbox"/> PP	TELEPHONE # _____		E-MAIL ADDRESS _____	
JOINT ACCOUNT HOLDER # 3	TITLE	FIRST NAME	MIDDLE NAME	LAST NAME			
ID TYPE:	<input type="checkbox"/> DP	<input type="checkbox"/> NAT ID	<input type="checkbox"/> PP	TELEPHONE # _____		E-MAIL ADDRESS _____	

APPLICATION DETAILS

INVESTOR TYPE: <input type="checkbox"/> (Insert the number as outlined in the table in the Terms & Conditions on the reverse of this page)	SERIES:	A-5 YEARS, 4.50%	B-12 YEARS, 5.70%	C-20 YEARS, 6.60%
TOTAL CONSIDERATION: minimum investment \$1000.00 additional investments must be in multiples of \$1000.00	AMOUNT:	\$ _____	\$ _____	\$ _____
		CHEQUE # _____		

Cheques must be made to "FCBAS-NIF IPO" for the exact amount payable and must be drawn in the same name as the applicant or by order of the applicant.

REMITTANCE DETAILS (will be used for interest payments, principal payments and refunds where applicable)

NOTE: CURRENCY OF THE ACCOUNT FOR REMITTANCE MUST BE IN TT DOLLARS

NAME ON ACCOUNT:	BANK:
ACCOUNT NUMBER:	BRANCH:
ACCOUNT TYPE: <input type="checkbox"/> CHEQUING/CURRENT <input type="checkbox"/> SAVINGS	

Disclaimer: The Lead Broker will not be held responsible for incorrect/incomplete/invalid banking instructions submitted.

*I/we agree: (1) that the information stated above is true and correct and (2) to the terms & conditions on the reverse of this page.
 I/We declare that I/We are not under 18 years of age on the date of application.
 I/We declare that I/We have read the Prospectus and will not rely on any other information or representation outside the Prospectus. No person responsible for the Prospectus or any part of it will have any liability for any such other information or representation.
 I acknowledge that I shall receive no certificate with respect to the Bonds and the certificate, if any, will be delivered to the TTCD and the Bonds held there.*

SIGNATURES/AUTHORISATION - COMPANY/INSTITUTION APPLICANT

		COMPANY STAMP
DIRECTOR	SECRETARY	
SIGNATORY	DATE & TIME	

SIGNATURES/AUTHORISATION- INDIVIDUAL APPLICANTS

PRIMARY ACCOUNT HOLDER	JOINT ACCOUNT HOLDER # 1	DATE & TIME
JOINT ACCOUNT HOLDER # 2	JOINT ACCOUNT HOLDER # 3	

OFFER CLOSES ON 9TH AUGUST 2018 AT 4 PM

		BROKER/DISTRIBUTOR STAMP
BROKER/DISTRIBUTOR SIGNATURE	DATE & TIME	

PURCHASE APPLICATION FORM (Continued)

TERMS AND CONDITIONS

- a. I/We agree that this application made by way of submitting a Purchase Application Form shall not be binding on me/us if I/we provide written notice to the Lead Broker or authorized stockbroker within two business days after submission of this application that I/we intend to withdraw my/our application. This written notice should be addressed and delivered to the Lead Broker or authorized stockbroker.
- b. I/We apply for Bonds as indicated in this form (or such lesser number of Bonds as may be allotted to me/us) on the terms and conditions of the Prospectus. If the Bonds are allotted to me/us, I/we hereby instruct the authorized stockbroker to proceed with any necessary actions in order to establish a valid account, as provided overleaf, with the Trinidad and Tobago Central Depository to receive the allotted Bonds.
- c. Subject to (a) above, I/We undertake to buy the said number of Bonds set out in the front of this application and shall not revoke this application.
- d. (If the applicant is a Company) I/We attach or agree to provide a list of persons authorized to sign on behalf of the applicant.
- e. I certify that all supporting documents (source of funds, etc.) submitted with this application are true and correct.
- f. If the maximum Offer is oversubscribed, consistent with the Company's policy of promoting the widest possible participation, priority to receive the allocation applied for shall be given to individual investors.
- g. Applications may be rejected for the following reasons:
- i. If the application for purchase is incomplete;
 - ii. If the investor's identity is fictitious and not supported by valid identification; and
 - iii. If the application for purchase, as presented, contravenes any existing law or statute.

Investor Types

1	Individual Investors
2	Registered mutual funds including The Trinidad & Tobago Unit Trust Corporation
3	Registered Pension and other trust funds, Credit Unions and Cooperatives and the National Enterprises Limited
4	The National Insurance Board of Trinidad and Tobago (NIBTT)
5	Other companies

NOTES

1. A Corporation may execute this application either under its common seal or under the hand of a duly authorized officer, who should state his capacity, and supply a copy of the resolution of the governing body of the corporation. It should insert its registered or head office address.
2. If this form is signed under power of attorney, a duly certified copy thereof, must accompany this form.
3. No certificates for registered holdings will be issued. Quarterly statements will be sent by post to the applicant's address provided that there is activity on the Trinidad and Tobago Central Depository account during the quarter. If there is no activity, a statement will be sent out annually beginning December 2018.
4. When this Purchase Application Form is duly completed, it must be delivered to the Lead Broker:
First Citizens Brokerage & Advisory Services Limited
17 Wainwright Street
St. Clair
5. A copy of the Prospectus can be obtained at First Citizens Brokerage & Advisory Services Limited, other authorized stockbrokers and at www.nif-tt.com

